

# ON THE SPECTRAL PROPERTIES OF THE BROWN-RAVENHALL OPERATOR

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The fact that the Dirac is unbounded below creates problems if it is used to describe multi-particle relativistic systems since the resulting operator has a spectrum which covers the whole of the real line. To overcome this difficulty Brown and Ravenhall proposed the following one-particle model. To describe an electron in the field of its nucleus and subject to relativistic effects, the operator of Brown and Ravenhall is

$$(1) \quad \mathbf{B} := \Lambda_+ \left( D_0 - \frac{e^2 Z}{|\cdot|} \right) \Lambda_+.$$

acting in the Hilbert space  $\mathcal{H} := \Lambda_+(L^2(\mathbb{R}^3) \otimes \mathbb{C}^4)$ . The notation in (1) is as follows

- $D_0$  is the free Dirac operator

$$D_0 = c\boldsymbol{\alpha} \cdot \frac{\hbar}{i} \nabla + mc^2\beta \equiv \sum_{j=1}^3 c \frac{\hbar}{i} \alpha_j \frac{\partial}{\partial x_j} + mc^2\beta,$$

where  $\boldsymbol{\alpha} = (\alpha_1, \alpha_2, \alpha_3)$  and  $\beta$  are the Dirac matrices given by

$$\alpha_j = \begin{pmatrix} 0 & \sigma_j \\ \sigma_j & 0 \end{pmatrix}, \beta = \begin{pmatrix} 1_2 & 0_2 \\ 0_2 & -1_2 \end{pmatrix}$$

with  $0_2, 1_2$  the zero and unit  $2 \times 2$  matrices respectively and  $\sigma_j$  the Pauli matrices

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

- $\Lambda_+$  denotes the projection of  $L^2(\mathbb{R}^3) \otimes \mathbb{C}^4$  onto the positive spectral subspace of  $D_0$ , that is  $\chi_{(0,\infty)}(D_0)$ , where  $\chi_{(0,\infty)}$  is the characteristic function of  $(0, \infty)$ . If we set

$$\widehat{f}(\mathbf{p}) \equiv \mathcal{F}(f)(\mathbf{p}) = \left( \frac{1}{2\pi\hbar} \right)^{3/2} \int_{\mathbb{R}^3} e^{-i\mathbf{x}\cdot\mathbf{p}/\hbar} f(\mathbf{x}) \, d\mathbf{x}$$

for the Fourier transform of  $f$ , then it follows that

$$(\Lambda_+ f)^\wedge(\mathbf{p}) = \Lambda_+(\mathbf{p}) \widehat{f}(\mathbf{p}),$$

where

$$(2) \quad \Lambda_+(\mathbf{p}) = \frac{1}{2} + \frac{c\boldsymbol{\alpha} \cdot \mathbf{p} + mc^2\beta}{2\mathbf{e}(p)}, \quad \mathbf{e}(p) = \sqrt{c^2p^2 + m^2c^4}$$

with  $p = |\mathbf{p}|$ .

- $2\pi\hbar$  is Planck's constant,  $c$  the velocity of light,  $m$  the electron mass,  $-e$  the electron charge, and  $Z$  the nuclear charge.

The lecture will discuss spectral properties of operators  $b_{l,s}$  appearing in the partial wave decomposition of  $\mathbf{B}$ : the indices  $l, s$ , denote the angular momentum channel and spin respectively. The following topics will be covered: the value of the critical charge  $Z_c(l, s)$  which yields the positivity of  $b_{l,s}$ , the charge range for essential self-adjointness, and the charge range for the absence of embedded eigenvalues.